BAY MILLS
GAMING COMMISSION

CORPORATE
DISCLOSURE
FORM

In Compliance with Standards
And Regulations of the Bay Mills Gaming Commission

12140 West Lakeshore Drive, Brimley, Michigan 49715
Phone (906) 248-3241 Fax (906) 248-3876

Initial _____
GENERAL INSTRUCTIONS

Print application and answer every question. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. The applicant should respond to the questions contained herein to the best of her/his knowledge.

All applicants are advised that this Corporate Disclosure is an official document and misrepresentation or failure to reveal information may be deemed sufficient cause for the refusal or revocation of a license to do business with facilities within the jurisdiction of the Bay Mills Gaming Commission and cause for possible criminal prosecution.

The applicant is hereby advised that he/she is seeking the granting of a privilege and that the burden of proving qualification for a favorable determination is at all times on the applicant.

The applicant (company president, CEO, or company representative) must initial each page, including attached pages. The applicant is attesting to the accuracy and completeness of the information contained on that page.

The applicant is responsible for the payment of all fees required under Bay Mills Gaming Rule 2 and Bay Mills Gaming Rule 5.

1. $750 Entity License Fee due with submission of application.
2. $250 For each Personal History Disclosure due with submission of application
3. $25 For each Vendor Service Authorization form that is received now or at a later date.
4. Cost of the Company background investigation, billed at a later date.

A Personal History Disclosure form must be completed by one or more of the following:

1. Owner
2. Affiliate
3. Director
4. Managerial Employees of the Applicant
5. Individual or affiliated company holding greater than 10% interest in the applicant.


Any service person from your company who will be installing or servicing gaming equipment must undergo a background investigation and issued identification from the Bay Mills Compliance Department. Please contact the Compliance Department for a Vendor Service Person application. A Vendor Service Person is one who will need access to sensitive areas of the casino.

If you require assistance in completing this application please contact the Bay Mills Compliance Department at Compliance@baymills.org or (906) 248-8531.

Initial __________
1. COMPANY IDENTITY
Name of Company : __________
Business Address : __________
Business Telephone : __________

(A) Trade Names used : ______
(B) Other names by which company is known OR is used/using: ______
(C) Federal tax number : ______

2. COMPANY TYPE
Indicate whether the business is a:
Corporation ☐ Partnership ☐ Sole Proprietorship ☐ Other ______

3. INCORPORATION/ORGANIZATION
If the business is a corporation, complete the following (if a partnership or other form of business organization, furnish similar
information as shown below):
(A) Place or Incorporation: _____ Date: ______
Other states or jurisdictions where incorporated, or filed with state corporations divisions: ______

(B) Is this company filed with the Michigan Secretary of State Corporations Division as a corporation or as an assumed business
name (DBA) conducting business in Michigan? Yes ☐ No ☐

(C) A certified copy of the Articles of Incorporation or a copy of the Partnership Agreement is attached. Yes ☐ No ☐

(D) Describe the type of business, which this company conducts. ______
Provide a list of Class III gaming equipment, devices, supplies and services offered for sale or lease: ______

(E) Provide a list of all officers and directors on separate paper, attached to the end of the application, and labeled appropriately.

4. GAMING/GAMBLING LICENSES, GOODS AND SERVICES
Has this company ever held or does it now hold any gaming licenses or permits in any jurisdiction? Yes ☐ No ☐

(A) List the license or permit type, license number (if applicable), jurisdiction, regulatory agency, agency address, agency contact
person, agency telephone, date of licensing or permit, and license status, on separate paper, attached to the end of the
application, and labeled appropriately.

(B) List any gaming license that has been revoked, suspended or denied. Provide complete details on separate paper, attached to
the end of the application, and labeled appropriately.

(C) List all states or places where your company contracts to supply gaming goods or services and to whom those goods or
services are provided on separate paper, attached to the end of the application, and labeled appropriately.

5. CONTROL PERSONS/STOCKHOLDERS/PARTNERS
List all CONTROL PERSONS (corporate officers, directors, partners, key employees, and management contractors); also, list all
stockholders/partners owning *10 percent or more interest in this company. List full name, title, and business location on separate
paper, attached to the end of the application, and labeled appropriately.

6. CORPORATE PERSONAL HISTORY DISCLOSURE FORMS
Completed PERSONAL HISTORY DISCLOSURE FORMS are attached. Yes ☐ No ☐

7. HOLDING COMPANIES/OTHER INTEREST HOLDERS
List all holding, business organizations, other entities, or individuals who hold any financial interest in this company on separate
paper, attached to the end of the application, and labeled appropriately. Include companies which have liens or other financial
interests caused by company debt.

Initial __________

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(A) LOANS MADE BY THE BUSINESS: Provide a list of persons or businesses which have been loaned monies, equipment, or assets by this company on separate paper, attached to the end of the application, and labeled appropriately. List the entity name, address, date of loan, amount or asset loaned, reason for such loan, and loan status.

(B) The business location or property is ☐ owned, ☐ rented, ☐ leased, or ☐ other by the applicant. List the mortgage holder (if owned) including the terms of the mortgage and attach a copy of the contract.

(C) Provide a list of corporation’s names and addresses wholly or partially owned by the applicant or owns the applicant on separate paper, attached at the end of the application, and labeled appropriately.

8. TRIBAL ASSOCIATIONS
(A) List all control persons, employee’s, directors or shareholders who are or have been Tribal Employees/Officials or Commissioners, showing their names, position or title, and employer on separate paper, attached to the end of the application, and labeled appropriately.

(B) Please provide all control persons or subcontractors, or employees who have immediate family members of any Tribal Employee/Official/Commissioner, or who have a close personal relationship to any Tribal Employee/Official/Commissioner, indicate each such person on separate paper, attached to the end of the application, and labeled appropriately.

(C) Is any employee or company representative a member of the Bay Mills Gaming Commission? Yes ☐ No ☐ Provide the names on separate paper, attached to the end of the application, and labeled appropriately.

(D) List all persons, employees, consultants, sales agents, or other people who have had contact with a Bay Mills Gaming Commissioner or staff include name, reason, relationship and date on separate paper, attached to the end of the application, and labeled appropriately.

9. CRIMINAL INVESTIGATIONS
Has the vendor, a subsidiary or intermediary company, parent company, holding company, related corporation or business entity ever been the subject of a GRAND JURY or CRIMINAL INVESTIGATION? Yes ☐ No ☐ List on separate paper, attached to the end of the application, and labeled appropriately provide name of crime, date of disposition, state/county, court, court address and the outcome.

10. INDICTMENTS AND CONVICTIONS
Has the vendor, a subsidiary, intermediary company, parent company, holding company, related corporation or business entity, or any control person in any of the preceding ever been INDICTED, ARRESTED OR CONVICTED, plead nolo contendere (no contest), plead guilty, or forfeited bail for any criminal offense? Yes ☐ No ☐ List on separate paper, attached to the end of the application, and labeled appropriately, provide name of crime/charge, date of charge/disposition, state/county, court, court address and the outcome.

11. CIVIL ACTIONS
Has the vendor, a subsidiary, intermediary company, parent company, holding company, related corporation, or business entity, or any control person in any of the preceding ever been involved in any civil lawsuit? Yes ☐ No ☐ List on separate paper, attached to the end of the application, and labeled appropriately provide the name of case, type of case, state/county, court, court address and the outcome.

12. JUDGMENTS OR DECREES
Has the vendor, a subsidiary, intermediary company, parent company, holding company, related corporation, or business entity, or any control person in any of the preceding ever been the subject of any order, judgment, or decree of any court of competent jurisdiction permanently or temporarily enjoining it from, or otherwise limiting its participation in any type of business, practice or authority? Yes ☐ No ☐ If yes, provide complete details on separate paper, attached to the end of the application, and labeled appropriately.

13. FINANCIAL INFORMATION
Attach certified copies of your FINANCIAL STATEMENTS for the past three (3) years as prepared by a certified public accountant. If you do not have your financial statements prepared by a certified public accountant, include copies of your tax returns for the last three (3) years to the end of the application and labeled appropriately.

Initial ___________________________

Revised: 2/6/2018

Form V1